

1 **Serotonergic dysfunctions and abnormal iron metabolism:**

2 **Relevant to mental fatigue of Parkinson disease**

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33

34 **Abstract**

35 Fatigue is a very common non-motor symptom in Parkinson disease (PD) patients. But its
36 potential mechanisms involving serotonergic dysfunction and abnormal iron metabolism in the
37 brain and peripheral system in patients with mental fatigue are still unknown. In this study, we
38 evaluated the fatigue symptoms by fatigue scales, classified into fatigue group and non-fatigue
39 group, and detected the levels of serotonin, iron and related proteins in CSF and serum. In CSF,
40 the level of 5-HT in fatigue group is decreased and the levels of iron and transferrin in fatigue
41 group are increased. Mental fatigue score is negatively correlated with the level of 5-HT and
42 positively correlated with the levels of iron and transferrin in PD group. Transferrin level is
43 negatively correlated with 5-HT level in CSF in PD group; In serum, the levels of 5-HT and
44 transferrin are decreased in fatigue group; Mental fatigue score exhibits a negative correlation
45 with 5-HT level in PD group. Thus serotonin dysfunction in central and peripheral systems may be
46 correlated with PD mental fatigue through abnormal iron metabolism.

47 **Key words:** Parkinson disease, mental fatigue, cerebral spinal fluid, serotonin, transferrin

48

49 **Introduction**

50 Fatigue is one of the most common and disabling symptoms in Parkinson's disease (PD) with
51 high prevalence of 58.1%¹. As Kluger proposes criteria for diagnosis of PD-related fatigue,
52 patients must report significantly diminished energy levels or increased perceptions of effort that
53 are disproportionate to attempted activities or general activity level. Symptoms must be present for
54 most of the day every day or nearly every day during the previous month, adding other 4 or more
55 additional symptoms². Above 50% PD patients consider fatigue as one of top three disabling
56 symptoms³. Fatigue in PD can be divided into mental fatigue and physical fatigue, which can be
57 identified by Fatigue scale-14 (FS-14). Mental fatigue occurs after sustained intellectual activity
58 or emotional tension⁴. Physical fatigue is a sense of exhaustion caused by repeated muscular
59 contraction or continuous physical activity⁵. Study has showed that mental fatigue and physical
60 fatigue had different mechanisms, and fatigue in PD mainly manifested mental fatigue⁶. So

61 mental fatigue may present the main characteristic of fatigue in PD. Yet, there are few studies
62 investigating the potential mechanisms about fatigue in PD. Moreover, there is no study exploring
63 the underlying mechanism about mental fatigue in PD.

64 [N,N-dimethyl-2-(2-amino-4-cyanophenylthio) benzylamine] (¹¹C-DASB) PET reveals that
65 fatigue in PD patients is related to striatal and limbic serotonergic (5-HT) dysfunction ⁷ , however,
66 few studies directly investigated the level of 5-hydroxytryptamine (5-HT) both in CSF and serum
67 in PD patients with fatigue. Furthermore, the role of 5-HT dysfunction in brain and peripheral
68 system on mental fatigue in PD patients remains unclear.

69 Several autopsy reports show iron deposition in substantia nigra (SN) in PD patients.
70 Studies showed PD patients have hyperechogenicity in SN by transcranial sonography (TCS) ⁸ and
71 iron deposition mainly in SN pars compacta (SNpc) by susceptibility weighted imaging (SWI)
72 ⁹. Iron-related neurodegeneration can be attributed for the defects in its metabolism and/or
73 homeostasis and subsequent accumulation in the specific brain regions. For example, the level of
74 transferrin, an iron metabolism-related protein, in brains of PD subjects is remarkably increased
75 comparing with normal control subjects¹⁰. Studies imply that mutations in metabolism-related
76 proteins genes, such as transferrin¹¹, and ferritin¹² are related to PD incidence, indicating that
77 abnormal iron related proteins in brain participate in the pathogenesis of PD. However, no study
78 detects the levels of iron and related proteins in CSF and serum in PD patients with mental fatigue,
79 and no investigation focuses on the correlation between mental fatigue and iron metabolism in
80 CSF and serum in PD patients. Moreover, the relationship between 5-HT and iron and related
81 proteins in PD with mental fatigue is unknown.

82 In this study, in PD patients, we assessed mental fatigue by FS-14, detected the levels of
83 5-HT, iron and related proteins, including transferrin, lactoferrin and ferritin in CSF and serum,
84 and analyzed the correlations among mental fatigue score and the levels of above factors, and
85 attempt to figure out the underlying mechanisms of PD with mental fatigue relating 5-HT and iron
86 metabolism.

87

88 **Methods**

89 **Subjects**

90 Patients with PD. PD patients were recruited from the neurodegenerative outpatient clinics in
91 the Department of Geriatrics and Neurology, Beijing Tiantan Hospital, Capital Medical University.
92 Demographic information including age, sex, disease severity and disease duration as well as
93 levodopa equivalent daily doses was recorded. Patients were diagnosed with PD according to
94 Movement Disorder Society Clinical Diagnostic Criteria for Parkinson's Disease¹³. PD patients
95 with blood donation histories, systemic diseases including anemia, heart failure, pulmonary
96 disorders, hepatosis, chronic liver/renal failure, severe hypothyroidism and diabetes were excluded.
97 Female patients who had not been through menopause were not included in this study. PD patients
98 with an Epworth Sleepiness Scale score of >6 ¹⁴ or an Apathy Scale score of ≥ 14 were excluded¹⁵.
99 This study consecutively recruited 530 PD patients. Of 530 PD patients, 4 patients with pulmonary
100 disorders, 5 patients with severe hypothyroidism and 3 patients with heart failure were also
101 excluded. Finally, a total of 518 PD patients were recruited in this study.

102 Control subjects. Total 29 age-matched controls from Beijing Tiantan Hospital were selected
103 based on the following criteria: 1) no neurological symptoms and signs; 2) no histories of blood
104 donation; 3) no intracranial diseases; 4) no systemic diseases affecting sleep or fatigue, such as
105 hypertension, anemia, hepatosis, heart failure, pulmonary disorders, chronic liver/renal failure,
106 severe hypothyroidism, diabetes, or epilepsy history; 5) no essential tremor, PD, secondary
107 parkinsonism, or Parkinson-plus syndrome; 6) no obvious apathy, cognitive impairment, or
108 psychiatric symptoms; 7) no dysarthria or mental illness that affect expression; 8) no alcohol or
109 drug abuse. Female controls who had not been through menopause were not included in this study.
110 The controls were also patients, but their diseases were not related to and did not influence the
111 results of this investigation, such as peripheral neuropathy and headache caused by high
112 intracranial pressure.

113

114 **Assessment of PD**

115 **Assessment of fatigue**

116 The Fatigue Severity Scale (FSS) satisfies the criteria of a "recommended" fatigue scale in PD
117 (both for screening and severity rating) because it has been shown to have good psychometric
118 properties (including discrimination between fatigued and non-fatigued patients) in PD patients
119 and has been used by some studies¹⁶. It is a self-administered 9-item fatigue rating scale, and

120 encompasses several aspects of fatigue and their impact on patients' daily functioning. Patients
121 were asked to rate how each item described their fatigue level from 1 (strongly disagree) to 7
122 (strongly agree). Total FSS score was obtained by dividing the sum of all item scores by 9.
123 Patients with total FSS score >4 points and ≤ 4 points were classified into the fatigue group and
124 non-fatigue group, respectively¹⁶.

125 FS-14 is a reliable and valid self-rating scale with 14-items for fatigue evaluation. Item 1-8
126 and 9-14 of FS-14 reflect physical fatigue and mental fatigue, respectively. Higher total score of
127 FS-14 indicates severer fatigue¹⁷. The sensitivity and specificity of FS-14 are 75.5 % and 74.5%,
128 respectively.

129 This study has been approved by Beijing Tiantan Hospital review board (KY2013-003-03).
130 Written informed consent was obtained from all participating subjects. This study was performed
131 according to the guidelines of Capital Medical University, which abides by the Helsinki
132 Declaration on ethical principles for medical research involving human subjects.

133 **Clinical assessments of motor symptoms and non-motor symptoms**

134 The severity of PD was assessed based on the Hoehn and Yahr (H-Y) stage. Motor symptoms
135 were evaluated by Unified Parkinson's Disease Rating Scale (UPDRS) III, in which items 20 and
136 21 were for tremor, item 22 was for rigidity, items 23–26 and 31 were for bradykinesia, and items
137 27–30 were for postural and gait abnormalities. The score for each motor symptom was calculated
138 by summing up the score for the relevant items in UPDRS III. Non-motor symptoms were
139 evaluated by using the following scales: Hamilton Depression Scale (HAMD) (24 items) for
140 depression, Hamilton Anxiety Scale (HAMA) (14 items) for anxiety, Mini-Mental State
141 Examination (MMSE) for cognitive function, Pittsburgh Sleep Quality Index (PSQI) for sleep
142 disorders.

143 **CSF and serum sample collection**

144 Anti-parkinsonian drugs were withheld for 12-14 hours if patients' condition allowed. Total 3
145 ml CSF was taken in a polypropylene tube between 7 a.m. and 10 a.m. under fasting condition
146 through lumbar puncture. Total 2 ml venous whole blood was collected. Approximately 0.5 ml
147 volume of CSF and serum were aliquotted into separate Nunc cryotubes and kept frozen at -80°C
148 until ready for assay. Each aliquot dedicated for each measure to avoid freeze-thawing and
149 potential degradation of protein.

150

151 **Detection of the levels of 5-HT in CSF and serum**

152 The levels of 5-HT in CSF and serum from PD patients were measured by high performance
153 liquid chromatography (HPLC). Homenex 150*2mm,150*3mm chromatographic columns and
154 LC-MS-MS 6410 chromatographic instrument were from Agilent Company (USA), and standard
155 sample was from Sigma Company (USA).

156

157 **Detection of the levels of iron and related proteins in CSF and serum**

158 The levels of iron and its metabolism-related proteins, including iron, ferritin, transferrin and
159 lactoferrin, in CSF and serum from PD patients are detected by Enzyme Linked Immunosorbent
160 Assay (ELISA). Ab83366 kit for iron, Ab108911 kit for transferrin, and Ab108837 kit for ferritin
161 were from Abcam Company (Cambridge, United Kindom). CSB-E08831h kit for lactoferrin was
162 from Wuhan Huamei Biological Limited Company (Wuhan, China).

163

164 **Data analyses**

165 Statistical analyses were performed with SPSS Statistics 20.0 (IBM Corporation, New York,
166 USA). P value was statistically significant when it was less than 0.05.

167 Demographics information, motor symptoms, depression and anxiety were compared
168 between fatigue and non-fatigue groups. The levels of 5-HT, iron and related proteins in CSF and
169 serum were compared among control, fatigue and non-fatigue groups.

170 Continuous variables, if they were normally distributed, were presented as means \pm standard
171 deviations and compared by ANOVA test. Bonferroni correction was performed in further
172 comparisons between two groups. P value was significant when it was < 0.05 . Continuous
173 variables, if they were not normally distributed, were presented as median (quartile) and compared
174 by nonparametric test. P value was significant when it was < 0.017 in further comparisons
175 between two groups. Discrete variables were compared by Chi square test.

176 Spearman correlation analyses were made between the score of mental fatigue and the level
177 of 5-HT in CSF, between the score of mental fatigue and the levels of iron and iron
178 metabolism-related proteins in CSF and serum, among the levels of iron and transferrin in CSF and
179 age, disease duration, the scores of UPDRS III, tremor, rigidity, bradykinesia, postural and gait

180 abnormalities, HAMD and HAMA, and between the levels of 5-HT and iron and related proteins
181 in CSF in PD group.

182 Multiple linear regression models were established, in which the level of 5-HT in CSF in PD
183 group were set as dependent variables, whereas the score of mental fatigue, disease duration,
184 H-Y stage, the scores UPDRS III, tremor, rigidity, bradykinesia, postural and gait abnormalities,
185 HAMD and HAMA were set as independent variables. P value was significant when it was < 0.05.

186 **Results**

187 **Frequency and assessment of fatigue in PD patients**

188 Among the 518 PD patients, 250 cases (52.12%) were male and 268 (47.88%) were female.
189 The average score of mental fatigue in fatigue and non-fatigue groups is 7.00 (6.00~8.00) and 4.00
190 (2.00~6.00) points, respectively. The disease duration varied from 3 month to 33 years, with a
191 median of 2.5 years [interquartile range (IQR): 4.0 years]. The demographic characteristics are
192 listed in **Table 1, Supplemental table 1 and Supplemental table 2.**

193 In the 518 PD patients with fatigue, 80 cases (15.44%) have fatigue before the onset of motor
194 symptoms. The fatigue group shows more advanced H-Y stage, higher total UPDRS III scores
195 and higher scores of tremor, rigidity, bradykinesia, postural and gait abnormalities according to
196 UPDRS III when compared with the non-fatigue group. The fatigue group also scores higher on
197 HAMA and HAMD than the non-fatigue group, suggesting that individuals in the fatigue group
198 have severer anxiety and depression than those in the non-fatigue group. The fatigue group and
199 non-fatigue group do not differ in terms of demographic information, such as age, sex, disease
200 duration and levodopa equivalent daily dose (**Table 1, Supplemental table 1 and Supplemental**
201 **table 2**).

202

203 **Relationship among the score of mental fatigue, the levels of 5-HT, iron and related** 204 **proteins in CSF**

205 **Relationship between the score of mental fatigue and the level of 5-HT in CSF.**

206 The level of 5-HT in CSF is compared among control, fatigue and non-fatigue groups (**Table**
207 **2**). The level of 5-HT in CSF in fatigue group is prominently lower than that in control and
208 non-fatigue groups. Further analysis indicates that the score of mental fatigue increases with the
209 decreased level of 5-HT ($r=-0.233$, $P<0.05$) in CSF.

210

211 **Relationship between the score of mental fatigue and the levels of iron and related**
212 **proteins in CSF.**

213 The levels of iron, transferrin, ferritin and lactoferrin in CSF are compared among control,
214 fatigue and non-fatigue groups (**Table 2**). The levels of iron and transferrin in CSF in fatigue
215 group are prominently higher than that in control and non-fatigue groups. The level of transferrin
216 in CSF in non-fatigue is strikingly higher than that in control group. Correlation analyses
217 demonstrate mental fatigue score increases with the elevated levels of iron ($r = 0.372$, $P < 0.05$)
218 and transferrin ($r = 0.323$, $P < 0.05$) in CSF in PD group.

219

220 **Relationship between the level of 5-HT and iron and related proteins in CSF.**

221 Further analyses indicate that 5-HT level decreases with the increased level of transferrin
222 ($r = -0.492$, $P = 0.008$) in CSF in PD group (**Table 3**). In the multiple linear regression models, we
223 still find 5-HT level in CSF is significantly and negatively correlated with transferrin level
224 ($r = -0.714$, $P = 0.033$) after adjusting for confounders.

225 **Relationship among the levels of 5-HT, iron and transferrin in CSF, age, age of onset,**
226 **disease duration, the scores of UPDRS III, tremor, rigidity, bradykinesia, postural and gait**
227 **abnormalities, depression and anxiety in PD group.**

228 Analyses of the correlations of the level of 5-HT in CSF with age, age of onset, disease
229 duration, the scores of UPDRS III, tremor, rigidity, bradykinesia and postural and gait
230 abnormalities, depression and anxiety imply that 5-HT level is negatively correlated with the
231 scores of rigidity ($r = -0.23$, $P = 0.024$) and HAMD ($r = -0.79$, $P = 0.046$).

232 Analyses of the correlations of iron level in CSF with age, age of onset, disease duration, the
233 scores of UPDRS III, tremor, rigidity, bradykinesia and postural and gait abnormalities, depression
234 and anxiety reveal that iron level in CSF is positively correlated with the scores of rigidity ($r =$
235 0.96 , $P = 0.002$) and bradykinesia ($r = 0.19$, $P = 0.003$).

236 Analyses of the correlations of transferrin level in CSF with age, age of onset, disease duration,
237 the scores of UPDRS III, tremor, rigidity, bradykinesia and postural and gait abnormalities,
238 depression and anxiety indicate no significant correlations ($r = 0.31$, $P = 0.067$).

239 **Influencing factors of mental fatigue in PD group.**

240 Multiple linear regression model (Type I) is established, in which mental fatigue in PD group
241 is set as dependent variable, whereas the scores of HAMD, HAMA , UPDRS III, tremor, rigidity,
242 bradykinesia and postural and gait abnormalities, the level of 5-HT in CSF, age, sex, disease
243 duration and H-Y stage are set as independent variables. The results indicate that 5-HT level in
244 CSF is the only influencing factors for mental fatigue score in PD group (regression coefficient =
245 -0.175, P = 0.033), whereas the scores of HAMD, HAMA, UPDRS III, tremor, rigidity,
246 bradykinesia and postural and gait abnormalities, age, sex, disease duration and H-Y stage do not
247 enter the regression equation (**Supplemental table 3**).

248

249 **Relationship between the score of mental fatigue and the levels of 5-HT, iron and**
250 **related proteins in serum**

251

252 **Relationship between the score of mental fatigue and the level of 5-HT in serum**

253 The level of 5-HT in serum is compared among control, fatigue and non-fatigue groups
254 (**Table 4**). The decreased level of 5-HT in serum is observed in fatigue and non-fatigue groups
255 comparing with control group. Further analysis shows the score of mental fatigue decreases with
256 the reduced 5-HT level ($r = -0.370$, $P = 0.022$) in serum in PD group.

257

258 **Relationship between the score of mental fatigue and the levels of iron and related**
259 **proteins in serum.**

260 The levels of iron, transferrin, ferritin and lactoferrin in serum are compared among control,
261 fatigue and non-fatigue groups (**Table 4**). The data reveal that transferrin level in serum in fatigue
262 group is prominently decreased comparing with non-fatigue and control groups. Further analyses
263 imply no relationship between the score of mental fatigue and the levels of iron and related
264 proteins in serum.

265

266 **Relationship between the level of 5-HT and the levels of iron and related proteins in**
267 **serum.**

268 Correlation analyses are made between the level of 5-HT and the levels of iron and related
269 proteins in serum. The data do not indicate any correlation among them ($r=0.51$, $P>0.05$).

270 **Discussion**

271 In this study, 58.88% of total PD patients have fatigue, indicating that fatigue is a very
272 common non-motor symptom in PD patients, which is a little higher than that in previous report.
273 The different prevalence between our and other investigations may be accounted for the
274 differences in H-Y stage and disease duration of the patients and scales used for evaluating
275 fatigue¹. Eighty out of 518 PD patients (15.44%) were with fatigue prior to the appearance of
276 motor symptoms, supporting that fatigue might be one of prodromal symptoms of PD. One study
277 showed that fatigue frequently occurred in the 2 to 10 years premotor period¹⁸. Patients with
278 fatigue might have a high risk of 1.56 to develop PD¹⁹. Fatigue could help to identify individuals
279 at the earliest stages of PD. PD patients with fatigue in the present study showed a more advanced
280 H-Y stage, severer motor symptoms and non-motor symptoms indicated by higher scores of total
281 UPDRS III, HAMA and HAMD (**Table 1, Supplemental table 1 and Supplemental table 2**).
282 Importantly, further analyses of each motor symptom in the PD patients revealed that the score of
283 each motor symptom, including tremor, rigidity, bradykinesia and postural and gait abnormalities,
284 in the fatigue group was significantly higher than that in the non-fatigue group (**Table 1,**
285 **Supplemental table 1 and Supplemental table 2**), illustrating that fatigue worsened with
286 disease progression²⁰. Previous studies have reported that rigidity, bradykinesia²¹ and postural
287 and gait abnormalities^{22,23} were related to fatigue of PD. The present study was the first to reveal
288 that tremor is related to fatigue of PD. It might be that both tremor and fatigue have the same
289 central origin, and their generation was linked to a failure in the basal ganglia-thalamo-cortical
290 loop^{24,25}.

291 To our knowledge, this was the largest study assessing 5-HT level in CSF in PD patients, and
292 exploring the relationship between 5-HT level in CSF and mental fatigue. Recently, growing
293 evidence suggested that PD was not solely affecting the dopaminergic system, but also
294 serotonergic system with the data from biochemical, animal, postmortem, and functional imaging
295 studies²⁶. Even in early stage of PD patients, it was also observed reduced serotonin transporter
296 availability²⁷. In this study, we found that 5-HT level in CSF in fatigue group was prominently
297 lower than that in control and non-fatigue groups (**Table 2**). Profoundly, we found that the score
298 of mental fatigue increased with the declined 5-HT level in CSF, and the decreased 5-HT level is
299 the only influencing factor for mental fatigue in PD patients (**Supplemental table 3**). In brain, the

300 serotonergic system originates from the brainstem raphe nuclei, suggesting that decreased 5-HT
301 level in brain may predict mental fatigue in PD. As we all know, when Lewy bodies appeared in
302 Braak stage 2 in the lower raphe nuclei and locus coeruleus, PD patients manifested with fatigue²⁸.
303 Post-mortem studies have observed a loss of serotonergic cell bodies with Lewy bodies
304 aggregated in the raphe nuclei²⁹ and subsequently a global deficiency of serotonergic markers in
305 cortical and subcortical structures that received raphe projections³⁰. A recent study showed that PD
306 patients with fatigue have a significant reduction of 5-HT transporter binding in basal ganglia and
307 thalamus by utilizing 11C-DASB PET compared to the PD patients without fatigue⁷. However,
308 another study has showed no association between raphe nuclei 5-HT transporter (SERT)
309 availability and fatigue by using 123I-FP-CIT single photon emission computed tomography in
310 early drug-naive PD patients²⁷, which was inconsistent with our study. It might be that PD patients
311 recruited in the two studies were at different disease stages. The average H-Y stage of PD patients
312 was 1.5 ± 0.5 in the former study²⁷, which was lower than that in PD patients in our study (2.0 ± 0.8
313 stage). Above two studies explored the relationship between 5-HT in focal brain region and
314 fatigue in PD patients by using imaging method, which indirectly reflects 5-HT change in brain.
315 PET imaging is very expensive, which is difficult for most of PD patients to bear the financial
316 burden. Furthermore, the loss of serotonergic cell bodies with Lewy bodies aggregated in the
317 raphe nuclei²⁹ and subsequently a global deficiency of serotonergic markers in cortical and
318 subcortical structures that received raphe projections³⁰. Thus, CSF is an optimal and objective
319 source for allowing us to test and monitor the change of 5-HT level in the serotonergic
320 system-containing brain regions in PD patients with fatigue. There is no study investigating the
321 relationship between 5-HT in CSF and mental fatigue in PD. We for the first time to demonstrate
322 that decreased 5-HT in CSF is related to mental fatigue in PD, implying that decreased 5-HT level
323 in CSF might be a predictor for mental fatigue of PD.

324 There were rare studies investigating 5-HT level in serum in PD patients. 5-HT level in
325 serum balanced by 5-HT secretion, catabolism and platelet uptake mechanisms³¹. In this study, we
326 found the decreased level of 5-HT in serum in both fatigue and non-fatigue groups comparing
327 with the control group (**Table 4**). Further analysis showed that the score of mental fatigue
328 increased with the decline of 5-HT level in serum in PD group. The decreased 5-HT level in serum
329 were consistent with its level in CSF in these PD patients with mental fatigue. This suggested that

330 serum could be a very reasonable alternative to CSF when measuring serotonin levels and be
331 probably preferable in predicting 5-HT level in CSF in PD patients with fatigue since it was much
332 less invasive.

333 We furtherly explored the mechanism for the decline of 5-HT level in CSF in PD patients
334 with mental fatigue. Previous study have reported that 5-HT could protect against oxidase stress in
335 PD patients³². Yet, several studies have proved that nigral iron was a trigger of oxidative stress in
336 PD³³. Recently, one study showed that 5-(N-methyl-N-propargylaminomethyl)-8-hydroxyquinoline
337 (M30), an iron chelator, could increase 5-HT level in the brain of PD rat³⁴. By far, no study
338 focused on the relationship between iron and its related proteins and mental fatigue of PD. In this
339 study, the levels of iron and transferrin in CSF in the fatigue group were prominently higher than
340 those in control and non-fatigue groups, and the level of transferrin in CSF in non-fatigue is
341 strikingly higher than that in control group (**Table 2**). And the score of mental fatigue increased
342 with the elevated levels of iron and transferrin in CSF in PD group, implying an excessive iron
343 deposition in brain and an abnormal iron metabolism in brain in PD patients. Several studies have
344 proved iron deposition in SN in PD patients³⁵. Our study firstly find that increased iron level in
345 CSF is related to fatigue. Iron in brain interstitium may bind to large molecules, such as transferrin,
346 and then is transported into neurons. Excessive intake of exogenous iron may induce redundant
347 iron deposition in the brain³⁶. It may be explained that excessive iron deposits in brain region
348 related to mental fatigue, such as raphe nuclei, resulting in symptom of fatigue. These results
349 indicated a potential role of abnormal iron metabolism on mental fatigue in PD patients, and iron
350 and transferrin might be the potential indicators for mental fatigue in PD patients.

351 In this study, transferrin levels in serum in the fatigue group and non-fatigue group were
352 reduced comparing with that in the control group (**Table 4**). Further analyses showed no
353 relationship between the score of mental fatigue and the levels of iron and related proteins in
354 serum. Iron in serum could transfer into brain through blood-brain barrier (BBB), and thus it
355 might also participate in the pathogenesis of PD³⁷. Transferrin was the main receptor-mediated
356 transporter of iron from periphery to brain across BBB and a transporter of iron throughout the
357 brain³⁸. Our previous work have found the decreased transferrin level in serum in PD patients with
358 sleep disorders³⁹, as well as PD patients with rapid eye movement-sleep behavior disorder
359 (RBD)⁴⁰. Hence, we speculate that BBB of PD patients may be more seriously damaged than that

360 of control group, allowing the entry of transferrin from periphery to brain enormously, resulting in
361 abnormal iron storage, transportation and accumulation in raphe nuclei and basal ganglia related to
362 fatigue.

363 All PD patients recruited in this study came from the specialized neurodegenerative
364 outpatient clinic in the Department of Neurology and Department of Geriatrics, Beijing Tiantan
365 Hospital, which is the China National Clinical Research Center for Neurological Diseases. In
366 Department of Neurology and Department of Geriatrics, more than 80% patients are from the
367 whole country. Although the patients in this study came from one center, 518 PD patients are from
368 28 out of 32 provinces and municipalities of China, roughly representing PD patients in China.

369 In summary, the frequency of fatigue in PD patients is 58.88%. Fatigue group has more
370 advanced disease stage, severer motor symptoms, including tremor, rigidity, bradykinesia, postural
371 and gait abnormalities, and severer non-motor symptoms, such as anxiety and depression.
372 Decreased 5-HT in CSF is closely associated with mental fatigue in PD patients. The elevated iron
373 and transferrin level in CSF is significantly related to mental fatigue in PD patients which might
374 result from the translocation of transferrin from peripheral system to brain. Overloaded iron may
375 contribute to 5-HT dysfunction in brain related to mental fatigue in PD patients. Thus, 5-HT
376 reuptake inhibitors and iron chelator may serve as novel targets of drug development for mental
377 fatigue of PD.

378 The limitations of this study is that it is a cross-sectional study, therefore, causal
379 relationships between the levels of 5-HT and transferrin in the CSF of PD patients and fatigue
380 could not be determined. The data of PD patients are only from one center, it needs a large,
381 nationwide and multicentric study to further investigate the mechanism of PD fatigue in the future.

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Table 1 Demographics information, motor and non-motor symptoms in non-fatigue and fatigue groups

	Non-fatigue group (213 cases)	Fatigue group (305 cases)	P value
Age	60.85±10.44	61.73±10.07	0.89
Male/Total [cases/total (%)]	108/213 (50.70%)	162/305 (53.11%)	0.79
Disease duration [years, median (quartile)]	2.00 (1.00~4.00)	3.00 (1.00~6.00)	0.23
Hoehn-Yahr stage [stage, mean ± SD]	1.80±0.72	2.19±0.83	0.01*
Levodopa equivalent dose(mg, mean ±SD)	319.13±107.98	322.79±113.54	0.72
UPDRS III [points, median (quartile)]	18.00 (11.50~25.50)	27.50 (19.00~36.00)	0.00**
Tremor	3.00 (2.00~6.00)	5.00 (2.00~8.00)	0.02*
Rigidity	3.00 (1.00~6.00)	5.00 (2.00~8.00)	0.00**
Bradykinesia	2.50 (1.75~4.00)	4.00 (2.00~6.00)	0.00**
Postural and gait abnormalities	7.00 (4.00~12.00)	11.00 (6.00~16.00)	0.00**
Mental fatigue [points, median (quartile)]	4.00 (2.00~6.00)	7.00 (6.00~8.00)	0.00**
Total fatigue [points, median (quartile)]	6.00 (4.00~9.00)	11.00 (9.00~13.00)	0.00**
HAMA[scores, median (quartile)]	5.00 (2.00~9.00)	11.00 (6.00~18.00)	0.00**
HAMD[scores, median (quartile)]	5.00 (3.00~11.00)	15.00 (8.00~20.00)	0.00**
MMSE[scores, mean ± SD]	26.93±3.61	26.37±3.33	0.78
PSQI[scores, mean ± SD]	7.12±3.00	8.64±4.74	0.21

490 HAMD=Hamilton Depression Scale (24 items); HAMA=Hamilton Anxiety Scale (14 items); UPDRS =Unified Parkinson's Disease
 491 Rating Scale;

492 MMSE=mini-mental state examination; PSQI= Pittsburgh Sleep Quality Index. *: P<0.05, ** P<0.01.

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Table 2 The levels of 5-HT, iron and metabolism-related proteins in CSF among control, non-fatigue and fatigue groups

498

	Control group (29 cases)	Non-fatigue group (59 cases)	Fatigue group (63 cases)	P1	P2	P3
Neurotransmitters						

5-HT [ng/mL, median (quartile)]	10.617 (5.732~115.828)	8.934 (4.421~107.512)	5.546 (4.312~338.01)	0.094	0.000**	0.000**
Iron and metabolism-related proteins						
Iron [nmol/mL, median (quartile)]	0.380 (0.263~0.612)	0.411 (0.261~0.8254)	0.632 (0.321~0.845)	0.354	0.000**	0.004**
Transferrin [ug/ml, median (quartile)]	0.079 (0.062~0.083)	0.104 (0.084~0.123)	0.192 (0.073~0.214)	0.001**	0.000**	0.003**
Lactoferrin [ug/ml, mean ±SD]	148.471±65.153	138.822±61.371	134.295±53.764	0.231	0.114	0.614
Ferritin [ng/ml, median (quartile)]	5.291 (2.592~20.723)	5.771 (3.043~14.221)	5.854 (3.632~17.231)	0.783	0.63	0.853

499

500 5-HT=serotonin;P1: non-fatigue group vs. control group; P2: fatigue group vs. control group, P3: non-fatigue group vs. fatigue group.

501 **P<0.01.

502

503

504

505 **Table 3 Correlation between the levels of 5-HT and transferrin in CSF in PD patients**

506

Neurotransmitters (ng/mL)	Iron and related proteins	R	P value
5-HT	transferrin	-0.492	0.008**

507 5-HT=serotonin;**P<0.01.

508

509 **Table 4 The levels of 5-HT, iron and metabolism-related proteins in serum among control, non-fatigue and fatigue groups**

510

	Control group (29 cases)	Non-fatigue group (125 cases)	Fatigue group (145 cases)	P1	P2	P3
Neurotransmitters						
5-HT [ng/mL, median (quartile)]	415.812 (319.142~522.327)	217.323 (126.017~289.543)	230.619 (135.815~305.719)	0.001**	0.000**	0.635
Iron and metabolism-related proteins						
Iron [nmol/ml, mean ± SD]	3.322 (2.624~4.861)	3.011 (2.113~4.324)	3.121 (2.871~4.434)	0.259	0.382	0.624
Transferrin [ug/ml ,median (quartile)]	0.145 (0.177~0.564)	0.089 (0.069~0.094)	0.076 (0.066~0.084)	0.000**	0.000**	0.023
Lactoferrin [ug/ml, median (quartile)]	51.591 (45.214~86.022)	51.711 (47.282~88.894)	50.882 (44.981~87.805)	0.768	0.456	0.647
Ferritin [ng/ml, median (quartile)]	16.775 (6.241~48.983)	15.772 (7.043~41.223)	16.401 (7.455~43.742)	0.701	0.816	0.984

511 5-HT=serotonin;P1: non-fatigue group vs. control group; P2: fatigue group vs. control group, P3: non-fatigue group vs. fatigue group.

512 **P<0.01.

513

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574 **Competing financial interests**

575 The authors declare no competing financial interests.

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